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Jc760 U.S. PTO

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Approved for use through 09/30/2000. OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	AUTH07US
	First Inventor or Application Identifier	Simon Robert Walmsley
	Title	Validation Protocol and System
	Express Mail Label No.	EJ776402445US

Jc525 U.S. PTO  
09/517384  
03/02/00

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 120] - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 8]	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input checked="" type="checkbox"/> * Small Entity Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other: .....	
4. Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input checked="" type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		
<b>NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/113223 Prior application information: Examiner <u>Nga B. Nguyen</u> Group / Art Unit: <u>2764</u> <b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		

17. CORRESPONDENCE ADDRESS	
<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>	or <input type="checkbox"/> Correspondence address below

Name	Kia Silverbrook				
	Silverbrook Research Pty. Ltd.				
Address	393 Darling Street				
City	Balmain	State	NSW	Zip Code	2041
Country	Australia	Telephone	61-2-9818-6633	Fax	61-2-9818-6711

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	
Signature		Date	March 1, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

+

This is "Divisional" of US Patent Application Serial No. 09/113,223 filed July 10, 1998.

PTO/SB/17 (12/99)  
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**385**

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Simon Robert Walmsley
Examiner Name	Nga B. Nguyen
Group / Art Unit	2764
Attorney Docket No.	AUTH07US

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	<b>201</b> 345	Utility filing fee	<b>345</b>
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) **345**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<b>16</b>	-20** =	<b>9</b>	<b>0</b>
Independent Claims	<b>1</b> - 3** =	<b>39</b>	<b>0</b>
Multiple Dependent			<b>0</b>

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	<b>203</b> 9	Claims in excess of 20
102 78	<b>202</b> 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **0**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	<b>581</b> 40	Recording each patent assignment per property (times number of properties)	<b>40</b>
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

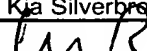
Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **40**

## SUBMITTED BY

Name (Print/Type) **Kia Silverbrook**  
Signature 

Registration No.  
(Attorney/Agent)

## Complete (if applicable)

Telephone **61-2-9818-6633**  
Date **March 1, 2000**

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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TOTAL AMOUNT OF PAYMENT (\$)**385**

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Filing Date	
First Named Inventor	Simon Robert Walmsley
Examiner Name	Nga B. Nguyen
Group / Art Unit	2764
Attorney Docket No.	AUTH07US

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☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
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113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	

# Westpac Banking Corporation

ARBN 007 457 141

Initiating Branch **BALMAIN**

Date **28 Feb 2000**

DRAFT No. **85 622 630**

**3**

Currency  
**USD**

Amount  
**385.00**


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**1 Chase Manhattan Plaza,**  
**New York, N.Y. 10081**

For and on behalf of  
**Westpac Banking Corporation**

THIS DRAFT CONTAINS A  
PADLOCK AND KEY WATERMARK

⑈85622630⑈ ⑆021000021⑆ 001 1 910106⑈

110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify) _____
SUBTOTAL (2) (\$) <b>0</b>	Reduced by Basic Filing Fee Paid
	SUBTOTAL (3) (\$) <b>40</b>
SUBMITTED BY	
Name (Print/Type) <b>Kia Silverbrook</b>	Registration No. (Attorney/Agent) _____
Signature 	Telephone <b>61-2-9818-6633</b>
	Date <b>March 1, 2000</b>

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Docket No.: AUTH07US

### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on March 2, 2000  
Date

  
Signature

JANETTE FAYE LEE

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled "Validation Protocol and System", which is a "Divisional" of US Patent Application No. 09/113,223.

Express Mail Label: **EJ776402445US**

**In the United States Patent and Trademark Office**

Serial Number:

Application. Filed:

Applicant(s): Simon Robert Walmsley

Application. Title: VALIDATION PROTOCOL AND SYSTEM

(This is a "Divisional" of US Patent Application Serial No. 09/113,223)

Examiner/GAU: Nga B. Nguyen, 2764

Mailed: March 1, 2000

At: Balmain NSW Australia

Docket No. AUTH07US



Assistant Commissioner for Patents

Washington, District of Columbia 20231

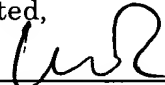
**Petition to Delete Inventors in a Divisional Application**

Dear Sir:


Pursuant to 37 CFR 1.63(d)(2), we respectfully request the deletion of Kia Silverbrook and Paul Lapstun who are not inventors in the above-identified divisional patent application.

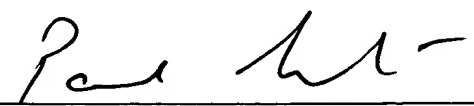
Respectfully submitted,

First Inventor  
in Parent  
Application:

  
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Telephone: 61-2-9818-6633  
Facsimile: 61-2-9818-6711  
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Joint Inventors  
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